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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	10/099,794
Sheet		Filing Date	March 15, 2002
of		First Named Inventor	Bich-Yen Nguyen
		Group Art Unit	2818
		Examiner Name	Dung Anh Le
		Attorney Docket Number	SC11360TP P01

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	CA	6,541,079	B1	BOJARCZUK	04-01-2003	
	CB	6,008,091		GREGOR	12-28-1999	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴ Kind Code ² (if known)			
	CC	PCT	WO 02/09167	A2	PARSONS	01-31-2002
	CD	PCT	WO 02/01622	A2	LUCOVSKY	01-03-2002

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
	CE	WARNER et al., "High Temperature Ionic Conductivity in the Trivalent Ceramic Electrolytes: LaA ₁₁ O ₁₈ and LaA ₁₂ O ₁₈ N ₂ ," SOLID STATE IONICS 92, 1996, pp. 99-101.				
	CF	CABANAS et al., "LaA ₁₀ O ₃ Thin Film Deposited on Si(100) and MgO(100) substrates," SOLID STATE IONICS 101-103, 1997, pp. 191-195.				
	CG	PCT/US03/07717 INTERNATIONAL SEARCH REPORT				

Examiner Signature		Date Considered	4/2004
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation, if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.



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Examiner Signature	<i>Dh</i>	Date Considered	4/2004
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Complete if Known

Application Number

Filing Date

First Named Inventor

Vidya S. Kaushik

Group Art Unit

Examiner Name

SCI 1360TP P01

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Examiner Signature	<i>[Signature]</i>	Date Considered	4/2004
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¹ Unique citation designation number. ² See *Kind of U.S. Patent Documents*. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.

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		Group Art Unit	
		Examiner Name	
Sheet	2	of	
		Attorney Docket Number	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. †	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T*
De	AK	JUNG et al. "Electrical Characteristics of an Ultrathin (1.6 nm) TaOxNy Gate Dielectric," AMERICAN INSTITUTE OF PHYSICS, APPLIED PHYSICS LETTERS, Volume 76, No. 24, pp. 3630-3631 (2000).	

Examiner Signature	De	Date Considered	4/2004
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